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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(54) Title:</b> PAROXETINE CONTROLLED RELEASE COMPOSITIONS  <b>(57) Abstract</b>  A controlled release or delayed release formulation contains a selective serotonin reuptake inhibitor (SSR1) such as paroxetine.		

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## PAROXETINE CONTROLLED RELEASE COMPOSITIONS

The present invention relates to a novel formulation containing paroxetine or a pharmaceutically acceptable salt thereof, and to its use in the treatment  
5 and/or prophylaxis of certain disorders.

US Patent No 4,007,196 describes *inter alia* a compound which is commonly known as paroxetine. This compound is a Selective Serotonin Reuptake Inhibitor (SSRI) and is currently marketed world-wide for the treatment and/or prophylaxis of depression.

10 The current formulation which is the only marketed formulation of paroxetine hydrochloride is a swallow tablet.

It has now been surprisingly found that controlled release and delayed release formulations containing paroxetine give rise to an unexpected reduction in the side effects associated with swallow tablets.

15 Accordingly, the present invention provides a controlled release or delayed release formulation containing paroxetine or a pharmaceutically acceptable salt thereof.

A further aspect of the invention provides a controlled release or delayed release formulation containing an SSRI. Examples of SSRIs other than  
20 paroxetine include fluoxetine (US Patent No. 4,314,081), fluvoxamine (US Patent No. 4,085,225), and sertraline (US Patent No. 4,536,518).

By controlled release is meant any formulation technique wherein release of the active substance from the dosage form is modified to occur at a slower rate than that from an immediate release product, such as a conventional swallow  
25 tablet or capsule.

By delayed release is meant any formulation technique wherein release of the active substance from the dosage form is modified to occur at a later time than that from a conventional immediate release product. The subsequent release of active substance from a delayed release formulation may also be controlled as  
30 defined above.

Examples of controlled release formulations which are suitable for incorporating paroxetine and other SSRIs are described in:

Sustained Release Medications, Chemical Technology Review No. 177. Ed. J.C. Johnson. Noyes Data Corporation 1980.

35 Controlled Drug Delivery, Fundamentals and Applications, 2nd Edition. Eds. J.R. Robinson, V.H.L. Lee. Marcel Dekkes Inc. New York 1987.

Examples of delayed release formulations which are suitable for incorporating paroxetine and other SSRIs are described in:

Remington's Pharmaceutical Sciences 16th Edition, Mack Publishing Company 1980, Ed. A. Osol.

- 5       Such controlled release formulations are preferably formulated in a manner such that release of active substance such as paroxetine is effected predominantly during the passage through the stomach and the small intestine, and delayed release formulations are preferably formulated such that release of active substance such as paroxetine is avoided in the stomach and is effected  
10       predominantly during passage through the small intestine

Said formulations are preferably formulated such that the release of the active substance is predominantly 1½ to 3 hours post ingestion.

The small intestine is suitably the duodenum, the ileum or the jejunum.

- 15       Patients who benefit most from the formulations of the present invention are those who are known to suffer from nausea upon oral administration using swallow tablets.

Preferred formulations are ultimately enteric coated tablets or caplets, wax or polymer coated tablets or caplets or time-release matrices, or combinations thereof.

- 20       Particularly preferred formulations are described in US Patent No. 5,102,666.

- Thus, a particular aspect of the invention provides a polymeric controlled release composition comprising a reaction complex formed by the interaction of (1) a calcium polycarbophil component which is a water-swellable, but water  
25       insoluble, fibrous cross-linked carboxy-functional polymer, said polymer containing (a) a plurality of repeating units of which at least about 80% contain at least one carboxyl functionality, and (b) about 0.05 to about 1.5% cross-linking agent substantially free from polyalkenyl polyether, said percentages being based upon the weights of unpolymerised repeating unit and cross-linking agent,  
30       respectively, with (2) water, in the presence of an active agent selected from the group consisting of SSRIs such as paroxetine. The amount of calcium polycarbophil present is from about 0.1 to about 99% by weight, for example about 10%. The amount of active agent present is from about 0.0001 to about 65% by weight, for example between about 5 and 20%. The amount of water  
35       present is from about 5 to about 200% by weight, for example between about 5 and 10%. The interaction is carried out at a pH of between about 3 and about 10.

for example about 6 to 7. The calcium polycarbophil is originally present in the form of a calcium salt containing from about 5 to about 25% calcium.

Further particularly preferred formulations are described in US Patent No. 5,422,123.

- 5           Thus, a further particular aspect of the invention provides a system for the controlled release of an active substance which is an SSRI such as paroxetine, comprising (a) a deposit-core comprising an effective amount of the active substance and having defined geometric form, and (b) a support-platform applied to said deposit-core, wherein said deposit-core contains at least the active
- 10           substance, and at least one member selected from the group consisting of (1) a polymeric material which swells on contact with water or aqueous liquids and a gellable polymeric material wherein the ratio of the said swellable polymeric material to said gellable polymeric material is in the range 1:9 to 9:1, and (2) a
- 15           single polymeric material having both swelling and gelling properties, and wherein the support-platform is an elastic support, applied to said deposit-core so that it partially covers the surface of the deposit-core and follows changes due to hydration of the deposit-core and is slowly soluble and/or slowly gellable in aqueous fluids. The support-platform may comprise polymers such as hydroxypropylmethylcellulose, plasticizers such as a glyceride, binders such as
- 20           polyvinylpyrrolidone, hydrophilic agents such as lactose and silica, and/or hydrophobic agents such as magnesium stearate and glycerides. The polymer(s) typically make up 30 to 90% by weight of the support-platform, for example about 35 to 40%. Plasticizer may make up at least 2% by weight of the support-platform, for example about 15 to 20%. Binder(s), hydrophilic agent(s) and
- 25           hydrophobic agent(s) typically total up to about 50% by weight of the support-platform, for example about 40 to 50%.

Paroxetine used in the present invention is suitably in the form of the free base or a pharmaceutically acceptable salt thereof. Preferably, paroxetine is suitably in the form of the hydrochloride hemihydrate.

- 30           Paroxetine hydrochloride hemihydrate may be prepared according to the procedures generally outlined in US Patent 4,721,723..

Paroxetine in the form of a controlled release or delayed release formulation can be used to treat and prevent the following disorders:

- 35           Alcoholism  
            - Anxiety  
            Depression

5      Obsessive Compulsive Disorder  
        Panic Disorder  
        Chronic Pain  
        Obesity  
 10      Senile Dementia  
        Migraine  
        Bulimia  
        Anorexia  
        Social Phobia  
 15      Pre-Menstrual Syndrome (PMS)  
        Adolescent Depression  
        Trichotillomania  
        Dysthymia  
        Substance Abuse

15

These disorders are herein after referred to as "the disorders".

20      The present invention provides a method of treating and/or preventing the disorders by administering an effective and/or a prophylactic amount of a controlled release or delayed release formulation containing paroxetine or a pharmaceutically acceptable salt thereof, to a sufferer in need thereof.

25      The present invention further provides the use of a controlled release or delayed release formulation containing paroxetine or a pharmaceutically acceptable salt thereof in the manufacture of a medicament, for treating and/or preventing the disorders.

30      The present invention also provides a pharmaceutical composition for use in the treatment and/or prevention of the disorders which comprises a controlled release or delayed release formulation containing paroxetine or a pharmaceutically acceptable salt thereof.

The following examples illustrate the present invention.

30

#### Example 1 (Hydrophilic Matrix)

	Intragranular	<u>% w/w</u>
	Paroxetine Hydrochloride	11.45
35	Methocel E5	1.25
	Lactose	12.3
	Extragranular	
	Methocel K100LV	30.0

Lactose	44.0
Magnesium Stearate	1.0
TOTAL	100.0

5 **Example 2** (Hydrophilic Matrix)

	Intragranular	<u>% w/w</u>
	Paroxetine Hydrochloride	11.45
	Methocel E5	1.25
10	Lactose	12.3
	Extragranular	
	Methocel K100LV	27.5
	Methocel K4M	7.5
	Lactose	39.0
15	Magnesium Stearate	1.0
	TOTAL	100.0

**Example 3** (pH Sensitive Coat on Immediate Release Core)

20	Tablet Core	<u>%w/w</u>
	Paroxetine Hydrochloride	11.45
	Lactose	64.05
	Microcrystalline Cellulose	20.0
	Sodium Starch Glycollate	4.0
25	Magnesium Stearate	0.5
	TOTAL	100.0

	Tablet Coating (apply approximately 6-10% of tablet core weight)	<u>%w/w</u>
	Hydroxypropylmethylcellulose Phthalate	90.0
30	Triacetin	10.0

**Example 4** (pH Sensitive Coat on Immediate Release Core)

	Tablet Core as in Example 3	
35	Tablet Coating (apply approximately 6-10% of tablet core weight)	<u>%w/w</u>



Cellulose Acetate Phthalate	90.0
Diethyl Phthalate	10.0

**Example 5** (Controlled Release Coating on Immediate Release Core)

5

Tablet Core as in Example 3

Tablet Coating (apply approximately 5-12% of tablet core weight) %w/w

	Eudragit RS 100	86.0
10	Dibutyl Phthalate	10.0
	Talc	4.0
	FD&C Yellow No. 6	0.01

**Example 6** (pH Sensitive Coat on Controlled Release Core.)

15

Tablet Core as in Example 3

Tablet Coating as in Example 3

20 **Example 7** (Encapsulated Controlled Release Coated Beads)

	<b>Pellet</b>	<u>%w/w (approx)</u>
	Non Pareil Seed	30
	Paroxetine Hydrochloride	40
25	Gelatin	8
	Lactose	20
	Talc	2
	<b>Coating</b>	<u>%w/w</u>
30	Glycerylmonostearate	36.6
	Glyceryldistearate	53.4
	White Wax	10.0

**Example 8** (Controlled release bilayer tablet)**Active Layer**

5

Component	mg/tablet	Function
Paroxetine Hydrochloride	22.89*	Active
Methocel K4M	15.00	Hydrogel polymer
Lactose monohydrate	62.0	Hydrophilic agent
10 Polyvinylpyrrolidone	3.0	Binder
Magnesium stearate	1.0	Hydrophobic agent
Syloid 244	1.0	Hydrophilic agent

**Support platform**

15

Component	mg/tablet	Function
Compritol 888	15.04	Plasticizer
Lactose monohydrate	29.32	Hydrophilic agent
20 Polyvinylpyrrolidone	4.0	Binder
Magnesium stearate	1.52	Hydrophobic agent
Methocel E5	29.32	Hydrogel polymer
Iron oxide	0.08	Colourant

25 Total tablet weight 184.89mg

\*Equivalent to 20mg paroxetine as free base.

30 The powder blend for each layer was wet granulated in a high shear mixer/granulator and dried in a fluid bed drier. The bilayer tablets were compressed on a Manesty triple layer press.

**Example 9** (Enteric coated calcium polycarbophil formulation)**Core**

5	Component	mg/tablet	Function
	Paroxetine Hydrochloride	22.89*	Active
	Calcium polycarbophil	20.00	Matrix
	Lactose anhydrous	146.11	Hydrophilic agent/diluent
	Polyvinylpyrrolidone	10.0	Binder
10	Magnesium stearate	1.0	Hydrophobic agent/lubricant
	Water**	0.024	Granulating liquid

**Enteric coat**

15	Component	mg/tablet	Function
	Eudragit	22.19	Polymer
	Talc	1.53	Lubricant
	Triethyl citrate	1.00	Plasticizer
20	Water**	24.6	Diluent

**Film coat**

	Opadry pink	10.5	Film coat
25	Water**	94.5	Diluent

**Polish coat**

	Opadry clear	0.750	
30	Water**	29.3	Diluent

\*Equivalent to 20mg paroxetine as free base.

\*\*Removed during processing.

35 The core constituents were wet granulated in a high shear mixer/granulator, and dried in a fluid bed drier. The magnesium stearate was then added and the

mixture processed in a low shear mixer. The mix was then compressed on a B type rotary tablet press. Coating was carried out using an Accela cota.

**Example 10** (Controlled release bilayer tablet)

5

**Active Layer**

	Component	mg/tablet	Function
	Paroxetine Hydrochloride	22.89*	Active
10	Methocel K4M	20.00	Hydrogel polymer
	Lactose monohydrate	60.0	Hydrophilic agent
	Polyvinylpyrrolidone	5.0	Binder
	Magnesium stearate	1.0	Hydrophobic agent
	Syloid 244	1.0	Hydrophilic agent

15

**Support platform**

	Component	mg/tablet	Function
20	Compritol 888	14.72	Plasticizer
	Lactose monohydrate	30.60	Hydrophilic agent
	Polyvinylpyrrolidone	2.80	Binder
	Magnesium stearate	0.80	Hydrophobic agent
	Methocel E5	30.60	Hydrogel polymer
25	Syloid 244	0.40	Hydrophilic agent
	Iron oxide	0.08	Colourant

Total tablet weight 189.89mg

30 \*Equivalent to 20mg paroxetine as free base.

The process was as described in Example 8.

**Example 11** (Controlled release bilayer tablet)**Active Layer**

5	Component	mg/tablet	Function
	Paroxetine Hydrochloride	22.89*	Active
	Methocel K4M	15.00	Hydrogel polymer
	Lactose monohydrate	63.31	Hydrophilic agent
	Polyvinylpyrrolidone	2.0	Binder
10	Magnesium stearate	1.0	Hydrophobic agent
	Syloid 244	0.40	Hydrophilic agent

Support platform - as in Example 10.

15 Total tablet weight 184.60mg

\*Equivalent to 20mg paroxetine as free base.

The process was as described in Example 8.

20

**Example 12** (Enteric coated controlled release bilayer tablet)**Active Layer**

25	Component	mg/tablet	Function
	Paroxetine Hydrochloride	28.61*	Active
	Methocel K4M	18.75	Hydrogel polymer
	Lactose monohydrate	79.14	Hydrophilic agent
	Polyvinylpyrrolidone	2.50	Binder
30	Magnesium stearate	1.25	Hydrophobic agent
	Syloid 244	0.50	Hydrophilic agent

**Support platform**

	<b>Component</b>	<b>mg/tablet</b>	<b>Function</b>
5	Compritol 888	15.04	Plasticizer
	Lactose monohydrate	30.50	Hydrophilic agent
	Polyvinylpyrrolidone	4.00	Binder
	Magnesium stearate	0.80	Hydrophobic agent
	Methocel E5	29.32	Hydrogel polymer
10	Syloid 244	0.32	Hydrophilic agent
	Iron oxide	0.02	Colourant

**Enteric coating**

15	<b>Component</b>	<b>mg/tablet</b>	<b>Function</b>
	Eudragit	13.27	Polymer
	Talc	3.31	Lubricant
	Triethyl citrate	1.33	Plasticizer
20	Water**	36.25	Diluent

Total tablet weight 228.66mg

\*Equivalent to 25mg paroxetine as free base.

25

\*\*Removed during processing.

The process was as described in Example 9.

**Example 13****GI tolerance study**

The design of the study is outlined below

- 5    **Subjects:**                    Normal healthy volunteers  
      **Design:**                    Parallel group, placebo controlled, double blind  
      **Treatment:**                (a) Placebo, (b) Immediate release paroxetine, (c) Example  
    8 formulation, (d) Example 8 formulation with enteric  
    coating.  
 10   **Dosage:**                    30 mg once daily for 3 days  
      **Number of subjects:**    452 evaluable (488 randomised, 485 evaluable)

- The study was conducted to compare the incidence, severity and duration of  
      nausea and vomiting, and diarrhoea (theoretically if the controlled release  
 15   formulations slow down absorption of paroxetine then, as paroxetine is known to  
      be prokinetic to the GI tract there may be an increased incidence).

- Adverse experiences (AE) information was assessed each morning at the time of  
      dosing and again 24 hours following the last dose. Investigators and subjects  
 20   were given diary cards detailing how to classify severity of AEs in order to  
      standardise as much as possible across all 6 centres.

- Of the 485 evaluable subjects, 18 (3.7%) withdrew, 17 because of adverse events.  
      Subjects with nausea/vomiting on the day of withdrawal were more common on  
 25   (b) than either of (c) and (d).

The incidence of nausea/vomiting and diarrhoea is shown in the table below:

	(b)	(c)	(d)	Placebo
<b>Incidence of nausea</b>	<b>59%</b>	<b>49%</b>	<b>39%</b>	<b>13%</b>
<b>Incidence of diarrhoea</b>	<b>15%</b>	<b>21%</b>	<b>20%</b>	<b>7%</b>

- 30   The incidence of nausea was increased for both (b) and placebo compared to the  
      expected rates of approximately 25% and 5% respectively for volunteers at these  
      dosages for 3 days duration. The overall incidence of nausea was less on (c) and

(d) than on (b). The severity of nausea was also decreased as shown in the next table.

Nausea severity	(b)	(c)	(d)	Placebo
None	50 (41%)	63 (52%)	74 (61%)	104 (87%)
Mild	45 (37%)	40 (33%)	30 (25%)	16 (13%)
Moderate	21 (17%)	17 (14%)	15 (12%)	0 (0%)
Severe	6 (5%)	1 (1%)	3 (2%)	0 (0%)

5 Severity of diarrhoea is reported in the table below:

Severity of diarrhoea	(b)	(c)	(d)	Placebo
None	104 (85%)	95 (79%)	97 (80%)	112 (93%)
Mild	16 (13%)	16 (13%)	16 (13%)	8 (7%)
Moderate	1 (1%)	8 (7%)	9 (7%)	0 (0%)
Severe	1 (1%)	2 (2%)	0 (0%)	0 (0%)

- In conclusion, there appears to be a trend for (c) to reduce the incidence of nausea and the dropout rate due to adverse events in comparison to (b), but analysis of the results was complicated by a statistically significant treatment-by-centre difference. (d) shows a halving in the dropout rate and a fall in incidence of nausea of 20% (a proportional fall of 33%). In addition there is a reduction in severity of nausea of those individuals who report nausea on (c) and (d). There is an increase in incidence of diarrhoea on both of (c) and (d) in relation to (b), but this is confined to an increase in the number of individuals reporting moderate diarrhoea and there is no increase in those with severe diarrhoea.



**Claims**

1. A controlled release or delayed release formulation containing a selective serotonin reuptake inhibitor (SSRI).
- 5 2. A formulation according to claim 1 wherein the SSRI is paroxetine or a pharmaceutically acceptable salt thereof.
3. A formulation according to claim 1 or 2, which comprises enteric coated  
10 tablets or caplets, wax or polymer coated tablets or caplets or time-release matrices, or combinations thereof.
4. A formulation according to any preceding claim, which is a polymeric controlled release composition comprising a reaction complex formed by the  
15 interaction of (1) a calcium polycarboxylic component which is a water-swella- ble, but water insoluble, fibrous cross-linked carboxy-functional polymer, said polymer containing (a) a plurality of repeating units of which at least about 80% contain at least one carboxyl functionality, and (b) about 0.05 to about 1.5% cross-linking agent substantially free from polyalkenyl polyether, said  
20 percentages being based upon the weights of unpolymerised repeating unit and cross-linking agent, respectively, with (2) water, in the presence of an active agent selected from the group consisting of SSRIs.
5. A formulation according to any one of claims 1 to 3, which is a system for  
25 the controlled release of an active substance which is an SSRI, comprising (a) a deposit-core comprising an effective amount of the active substance and having defined geometric form, and (b) a support-platform applied to said deposit-core, wherein said deposit-core contains at least the active substance, and at least one member selected from the group consisting of (1) a polymeric material which  
30 swells on contact with water or aqueous liquids and a gellable polymeric material wherein the ratio of the said swellable polymeric material to said gellable polymeric material is in the range 1:9 to 9:1, and (2) a single polymeric material having both swelling and gelling properties, and wherein the support-platform is an elastic support, applied to said deposit-core so that it partially covers the  
35 surface of the deposit-core and follows changes due to hydration of the deposit-core and is slowly soluble and/or slowly gellable in aqueous fluids.

6. A method of treating and/or preventing the disorders by administering an effective and/or a prophylactic amount of a controlled release or delayed release formulation according to any preceding claim, to a sufferer in need thereof.

5

7. Use of a controlled release or delayed release formulation according to any one of claims 1 to 5 in the manufacture of a medicament, for treating and/or preventing the disorders.

10 8. A process for the preparation of a formulation according to any one of claims 1 to 5, which comprises combining the constituents thereof in the required proportions.

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 96/03252

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6 A61K31/445 A61K47/48		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO,A,95 15155 (SMITHKLINE BEECHAM) 8 June 1995 see the whole document ---	1-8
A	EP,A,0 432 607 (JAGOTEC AG) 19 June 1991 cited in the application see the whole document ---	1-8
A	WO,A,92 03124 (ORAMED) 5 March 1992 cited in the application see the whole document --- -/--	1-8
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
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Date of the actual completion of the international search  11 November 1996		Date of mailing of the international search report  20. 11. 96
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International Application No  
PCT/EP 96/03252

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A,P	CHEMICAL ABSTRACTS, vol. 124, no. 10, 4 March 1996 Columbus, Ohio, US; abstract no. 127144, XP002018196 see abstract & CA,A,2 143 070 (P.MODI) 23 August 1995 -----	1-8

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/EP 96/03252

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claim(s) 6  
is(are) directed to a method of treatment of the human/animal  
body, the search has been carried out and based on the alleged  
effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such  
an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all  
searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment  
of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report  
covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is  
restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 96/03252

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9515155	08-06-95	AU-A- 1219895 CA-A- 2177721 ZA-A- 9409567	19-06-95 08-06-95 10-10-95
EP-A-432607	19-06-91	IT-B- 1237904 AT-T- 135906 CA-A- 2031393 DE-D- 69026215 DE-T- 69026215 ES-T- 2085316 JP-A- 6172162 US-A- 5422123	18-06-93 15-04-96 15-06-91 02-05-96 22-08-96 01-06-96 21-06-94 06-06-95
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